

**Canada GEN Investment Corporation**

*Privacy Act*

Annual Report to Parliament

*April 1, 2017 – March 31, 2018*

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## **Introduction**

The *Privacy Act* (Revised Statutes of Canada, Chapter A-1, 1985) was proclaimed on July 1, 1983. The *Privacy Act* (the “Act”) extends to individuals the right of access to information about themselves held by federal institutions, subject to specific and limited exceptions. The law also protects the individual's privacy by preventing others from having access to personal information and gives individuals substantial control over its collection and use.

Canada GEN Investment Corporation became subject to the *Privacy Act* on May 20, 2009.

This Annual Report to Parliament on the administration of the *Privacy Act* is prepared and tabled in Parliament in accordance with section 72 of the Act and covers the period from April 1, 2017 to March 31, 2018.

## **Mandate of the Institution**

Canada GEN Investment Corporation (“GEN” or the “Corporation”) is incorporated under the *Canada Business Corporations Act*, and is a wholly-owned subsidiary of Canada Development Investment Corporation (“CDEV”). The Corporation was incorporated on May 20, 2009 as 7176384 Canada Inc. to acquire and hold an equity interest in General Motors Company (“GM”). On December 16, 2009 the Articles of Incorporation were amended to reflect the change of the Corporation's name to Canada GEN Investment Corporation.

The Corporation reports to Parliament through its parent company and the Minister of Finance.

GEN does not engage with the general public in the normal course of business.

During the period April 1, 2017 to March 31, 2018, the Corporation did not borrow from the Government nor did it receive any appropriations.

GEN sold all of its remaining investments in GM in 2015 and had minimal activity in the reporting period.

## **Organizational Structure and Delegation Order**

GEN does not have any employees and its operations are managed by CDEV employees. CDEV is based in Toronto and operates with a small team of six employees. The Access to Information and Privacy (“ATIP”) Coordinator is a full-time employee of CDEV, dedicated part-time to GEN's ATIP activities. The ATIP office consists of the Coordinator and an ATIP analyst with no other regional offices or employees. CDEV also retains advice from an independent consultant as needed.

The ATIP Coordinator is the point of contact on issues involving the collection of personal information and privacy.

The Corporation has never conveyed personal information to federal investigative agencies pursuant to the provisions of the *Privacy Act*.

The Delegation of Authority Order (Appendix A) that was approved on September 11, 2015 remained in effect throughout the reporting period. The order was renewed on May 24, 2018. The authority to approve or deny the release of information under the *Act* is shared by the Executive Vice President and the ATIP Coordinator. The ATIP Coordinator normally performs the function, with the exception of disclosures pursuant to paragraphs 8(2)(e) and 8(2)(m) of the *Act*, which are handled by the Executive Vice President.

### **Highlights of the 2017-18 Statistical Report**

Appendix B provides a summarized statistical report on the requests for personal information received under the *Privacy Act* from April 1, 2017 to March 31, 2018.

The Corporation received no requests during the reporting period. Since becoming subject to the *Act*, GEN has not received any requests or consultations from other institutions.

The Corporation has never conveyed personal information to federal investigative agencies pursuant to the provisions of the *Privacy Act*.

### **Privacy Impact Assessments**

No Privacy Impact Assessments were conducted during the reporting period.

### **Information Holdings**

The ATIP Coordinator is responsible for providing a full accounting of the Corporation's information holdings to the Treasury Board Secretariat and ensures that updates are provided on a timely basis for inclusion in the Info Source publications.

The Info Source publications contain a description of the classes of corporate records held by GEN. The Corporation does not have any exempt banks. The Corporation's Info Source chapter is published on CDEV's website at [www.cdev.gc.ca](http://www.cdev.gc.ca).

### **Website**

GEN does not maintain a public website however CDEV has a website at [www.cdev.gc.ca](http://www.cdev.gc.ca). The website provides information on ATIP matters for CDEV and its subsidiaries.

### **Reading Room**

GEN does not maintain a formal reading room as it occupies only minimal office space. However, in accordance with the *Privacy Act*, the Corporation will make arrangements to provide facilities for viewing material on an as required basis.

### **Training and Awareness**

In April 2017, an independent consultant provided a one day information session on the Access to Information and Privacy Acts to all 6 CDEV employees, including the two ATIP

staff.

### **Policies, Guidelines, Procedures and Initiatives**

CDEV's privacy policy, which applies to GEN, is reviewed by the board of directors on an annual basis and amended as required. The privacy policy was last reviewed in June 2017.

There were no new and/or revised institution-specific privacy-related policies, guidelines, procedures or initiatives implemented in the institution during the reporting period.

### **Monitoring Compliance**

There were no requests to monitor during the reporting period.

### **Material Privacy Breaches**

There were no material privacy breaches during the reporting period.

### **Costs**

Total costs associated with activities related to the administration of the *Privacy Act* for this reporting period are nil.

### **Summary of Key Issues and Actions Taken on Complaints or Audits**

There were no complaints received and no audits or investigations conducted during this reporting period.

### **Public Interest Disclosures**

GEN has never received any requests nor disclosed information pursuant to paragraph 8(2)(m) of the *Act*.

### **Use and Disclosure**

The intent and requirements of the *Privacy Act* and Treasury Board guidelines regarding the collection, use, disclosure, retention and disposal of personal information are strictly adhered to by the Corporation. Individuals sharing responsibility for the management of the Corporation are aware of their responsibilities for the proper management of personal information holdings and the Corporation respects the Use and Disclosure Code.

Furthermore, the Access to Information and Privacy Coordinator must be notified where personal information in a personal information bank is used or disclosed for a use consistent with the purpose for which the information was obtained or compiled, but was not identified in the statement of consistent uses published in Info Source.

**Appendix A – *Privacy Act* Designation Order**

**DESIGNATION/ DÉLÉGATION**

*PRIVACY ACT /  
LOI SUR LA PROTECTION DES RENSEIGNEMENTS PERSONNELS*

**Privacy Act Designation Order**

By this order made pursuant to section 73 of the *Privacy Act*, I hereby authorize those officers and employees of the Canada Development Investment Corporation and subsidiaries occupying, on an acting basis or otherwise, the positions identified within the attached schedule to perform on my behalf any of the powers, duties, or functions specified therein.

This designation replaces and repeals all previous orders.

Dated in Toronto on this 24<sup>th</sup> day of MAY 2018

**Arrêté sur la délégation en vertu de la Loi sur la protection des renseignements personnels**

Par le présent arrêté pris en vertu de l'article 73 de la *Loi sur la protection des renseignements personnels*, j'autorise les agents et les employés du Corporation de développement des investissements du Canada et les filiales occupant, par intérim ou autrement, les postes identifiés dans l'annexe ci-jointe à exercer en mon nom, les attributions, les fonctions et les pouvoirs qui y sont spécifiés.

Le présent document remplace et annule tous les arrêtés antérieurs.

Fait à Toronto en ce \_\_\_\_\_ jour de \_\_\_\_\_ 2018



Chairperson- Canada Development Investments Corporation /  
Le Président - Corporation de développement des investissements du Canada

## Appendix B - Statistical Report on the *Privacy Act*





## Statistical Report on the *Privacy Act*

Name of institution: Canada GEN Investment Corporation

Reporting period: 2017-04-01 to 2018-03-31

### Part 1: Requests Under the *Privacy Act*

	Number of Requests
Received during reporting period	0
Outstanding from previous reporting period	0
<b>Total</b>	0
Closed during reporting period	0
Carried over to next reporting period	0

### Part 2: Requests Closed During the Reporting Period

#### 2.1 Disposition and completion time

Disposition of Requests	Completion Time							Total
	1 to 15 Days	16 to 30 Days	31 to 60 Days	61 to 120 Days	121 to 180 Days	181 to 365 Days	More Than 365 Days	
All disclosed	0	0	0	0	0	0	0	0
Disclosed in part	0	0	0	0	0	0	0	0
All exempted	0	0	0	0	0	0	0	0
All excluded	0	0	0	0	0	0	0	0
No records exist	0	0	0	0	0	0	0	0
Request abandoned	0	0	0	0	0	0	0	0
Neither confirmed nor denied	0	0	0	0	0	0	0	0
<b>Total</b>	0	0	0	0	0	0	0	0

## 2.2 Exemptions

Section	Number of Requests	Section	Number of Requests	Section	Number of Requests
18(2)	0	22(1)(a)(i)	0	23(a)	0
19(1)(a)	0	22(1)(a)(ii)	0	23(b)	0
19(1)(b)	0	22(1)(a)(iii)	0	24(a)	0
19(1)(c)	0	22(1)(b)	0	24(b)	0
19(1)(d)	0	22(1)(c)	0	25	0
19(1)(e)	0	22(2)	0	26	0
19(1)(f)	0	22.1	0	27	0
20	0	22.2	0	28	0
21	0	22.3	0		

## 2.3 Exclusions

Section	Number of Requests	Section	Number of Requests	Section	Number of Requests
69(1)(a)	0	70(1)	0	70(1)(d)	0
69(1)(b)	0	70(1)(a)	0	70(1)(e)	0
69.1	0	70(1)(b)	0	70(1)(f)	0
		70(1)(c)	0	70.1	0

## 2.4 Format of information released

Disposition	Paper	Electronic	Other formats
All disclosed	0	0	0
Disclosed in part	0	0	0
<b>Total</b>	0	0	0

## 2.5 Complexity

### 2.5.1 Relevant pages processed and disclosed

Disposition of Requests	Number of Pages Processed	Number of Pages Disclosed	Number of Requests
All disclosed	0	0	0
Disclosed in part	0	0	0
All exempted	0	0	0
All excluded	0	0	0
Request abandoned	0	0	0
Neither confirmed nor denied	0	0	0
<b>Total</b>	0	0	0

## 2.5.2 Relevant pages processed and disclosed by size of requests

Disposition	Less Than 100 Pages Processed		101-500 Pages Processed		501-1000 Pages Processed		1001-5000 Pages Processed		More Than 5000 Pages Processed	
	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed
All disclosed	0	0	0	0	0	0	0	0	0	0
Disclosed in part	0	0	0	0	0	0	0	0	0	0
All exempted	0	0	0	0	0	0	0	0	0	0
All excluded	0	0	0	0	0	0	0	0	0	0
Request abandoned	0	0	0	0	0	0	0	0	0	0
Neither confirmed nor denied	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

## 2.5.3 Other complexities

Disposition	Consultation Required	Legal Advice Sought	Interwoven Information	Other	Total
All disclosed	0	0	0	0	0
Disclosed in part	0	0	0	0	0
All exempted	0	0	0	0	0
All excluded	0	0	0	0	0
Request abandoned	0	0	0	0	0
Neither confirmed nor denied	0	0	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

## 2.6 Deemed refusals

### 2.6.1 Reasons for not meeting statutory deadline

Number of Requests Closed Past the Statutory Deadline	Principal Reason			
	Workload	External Consultation	Internal Consultation	Other
0	0	0	0	0

## 2.6.2 Number of days past deadline

Number of Days Past Deadline	Number of Requests Past Deadline Where No Extension Was Taken	Number of Requests Past Deadline Where An Extension Was Taken	Total
1 to 15 days	0	0	0
16 to 30 days	0	0	0
31 to 60 days	0	0	0
61 to 120 days	0	0	0
121 to 180 days	0	0	0
181 to 365 days	0	0	0
More than 365 days	0	0	0
<b>Total</b>	0	0	0

## 2.7 Requests for translation

Translation Requests	Accepted	Refused	Total
English to French	0	0	0
French to English	0	0	0
<b>Total</b>	0	0	0

## Part 3: Disclosures Under Subsections 8(2) and 8(5)

Paragraph 8(2)(e)	Paragraph 8(2)(m)	Subsection 8(5)	Total
0	0	0	0

## Part 4: Requests for Correction of Personal Information and Notations

Disposition for Correction Requests Received	Number
Notations attached	0
Requests for correction accepted	0
<b>Total</b>	0

## Part 5: Extensions

### 5.1 Reasons for extensions and disposition of requests

Disposition of Requests Where an Extension Was Taken	15(a)(i) Interference With Operations	15(a)(ii) Consultation		15(b) Translation or Conversion
		Section 70	Other	
All disclosed	0	0	0	0
Disclosed in part	0	0	0	0
All exempted	0	0	0	0
All excluded	0	0	0	0
No records exist	0	0	0	0
Request abandoned	0	0	0	0
<b>Total</b>	0	0	0	0

## 5.2 Length of extensions

Length of Extensions	15(a)(i) Interference with operations	15(a)(ii) Consultation		15(b) Translation purposes
		Section 70	Other	
1 to 15 days	0	0	0	0
16 to 30 days	0	0	0	0
<b>Total</b>	0	0	0	0

## Part 6: Consultations Received From Other Institutions and Organizations

### 6.1 Consultations received from other Government of Canada institutions and other organizations

Consultations	Other Government of Canada Institutions	Number of Pages to Review	Other Organizations	Number of Pages to Review
Received during the reporting period	0	0	0	0
Outstanding from the previous reporting period	0	0	0	0
<b>Total</b>	0	0	0	0
Closed during the reporting period	0	0	0	0
Pending at the end of the reporting period	0	0	0	0

### 6.2 Recommendations and completion time for consultations received from other Government of Canada institutions

Recommendation	Number of Days Required to Complete Consultation Requests							Total
	1 to 15 Days	16 to 30 Days	31 to 60 Days	61 to 120 Days	121 to 180 Days	181 to 365 Days	More Than 365 Days	
All disclosed	0	0	0	0	0	0	0	0
Disclosed in part	0	0	0	0	0	0	0	0
All exempted	0	0	0	0	0	0	0	0
All excluded	0	0	0	0	0	0	0	0
Consult other institution	0	0	0	0	0	0	0	0
Other	0	0	0	0	0	0	0	0
<b>Total</b>	0	0	0	0	0	0	0	0

### 6.3 Recommendations and completion time for consultations received from other organizations

Recommendation	Number of days required to complete consultation requests							
	1 to 15 Days	16 to 30 Days	31 to 60 Days	61 to 120 Days	121 to 180 Days	181 to 365 Days	More Than 365 Days	Total
All disclosed	0	0	0	0	0	0	0	0
Disclosed in part	0	0	0	0	0	0	0	0
All exempted	0	0	0	0	0	0	0	0
All excluded	0	0	0	0	0	0	0	0
Consult other institution	0	0	0	0	0	0	0	0
Other	0	0	0	0	0	0	0	0
<b>Total</b>	0	0	0	0	0	0	0	0

## Part 7: Completion Time of Consultations on Cabinet Confidences

### 7.1 Requests with Legal Services

Number of Days	Fewer Than 100 Pages Processed		101-500 Pages Processed		501-1000 Pages Processed		1001-5000 Pages Processed		More than 5000 Pages Processed	
	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed
1 to 15	0	0	0	0	0	0	0	0	0	0
16 to 30	0	0	0	0	0	0	0	0	0	0
31 to 60	0	0	0	0	0	0	0	0	0	0
61 to 120	0	0	0	0	0	0	0	0	0	0
121 to 180	0	0	0	0	0	0	0	0	0	0
181 to 365	0	0	0	0	0	0	0	0	0	0
More than 365	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	0	0	0	0	0	0	0	0	0	0

### 7.2 Requests with Privy Council Office

Number of Days	Fewer Than 100 Pages Processed		101-500 Pages Processed		501-1000 Pages Processed		1001-5000 Pages Processed		More than 5000 Pages Processed	
	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed
1 to 15	0	0	0	0	0	0	0	0	0	0
16 to 30	0	0	0	0	0	0	0	0	0	0
31 to 60	0	0	0	0	0	0	0	0	0	0
61 to 120	0	0	0	0	0	0	0	0	0	0
121 to 180	0	0	0	0	0	0	0	0	0	0
181 to 365	0	0	0	0	0	0	0	0	0	0
More than 365	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	0	0	0	0	0	0	0	0	0	0

**Part 8: Complaints and Investigations Notices Received**

Section 31	Section 33	Section 35	Court action	Total
0	0	0	0	0

**Part 9: Privacy Impact Assessments (PIAs)**

Number of PIA(s) completed	0
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**Part 10: Resources Related to the Privacy Act**

**10.1 Costs**

Expenditures		Amount
Salaries		\$0
Overtime		\$0
Goods and Services		\$0
• Professional services contracts	\$0	
• Other	\$0	
<b>Total</b>		<b>\$0</b>

**10.2 Human Resources**

Resources	Person Years Dedicated to Privacy Activities
Full-time employees	0.00
Part-time and casual employees	0.00
Regional staff	0.00
Consultants and agency personnel	0.00
Students	0.00
<b>Total</b>	<b>0.00</b>

**Note:** Enter values to two decimal places.